SSQ insurance

Advisor
Investment

Administrative mini guide
SSQ private wealth management

For Advisors' use

Eligibility	All Canadian residents with investment assets of $500,000 or more held personally or in combination with their corporate account(s) or family grouping. Family: Direct family members of PWM clients.
Monitoring tools and services offered	• Personalized investment policy • Quarterly Statement of Investments • Personalized retirement planning
Management fees	Reduced management fees compared to the regular product
Minimum amount	$500,000
Available plans	All plans available, whether registered or non-registered
Eligible investment vehicles	• All SSQ Guaranteed Investment Funds (GIF) and guarantee options • Regular Guaranteed Interest Accounts (GIAs)* • Laddered GIA* *GIAs and Laddered GIAs may be purchased as part of this program, in compliance with the standard terms and conditions. SSQ Equity GIA is excluded from the program. However, only SSQ Guaranteed Investment Fund (GIF) investments are considered when calculating the minimum applicable amount required to participate in this program.
RRSP loans	Permitted
Electronic transactions	The product cannot be purchased or sold on FundSERV.
Automatic rebalancing of assets to target (quarterly or monthly)	Available upon request only. Please indicate in the *Additional Instructions* section of the Application form. **This option is offered at no charge.**

Commissions	The following compensation options are available: • No-load • Advisor chargeback
Processing of SSQ Guaranteed Investment Fund (GIF) unit transaction requests	Please refer to the *Information Folder and Contract* for processing times of transaction requests.
Application Forms	Application Form for Individual Contracts : **FRA1847** Application Form for Individual Contracts – TFSA : **FRA1848** Information Required to Implement a Private Wealth Management Contract : **FRA1164** • Investor(s) Identification • Confirmation of rebalancing (if applicable) • Confirmation of commission percentage **For more than one investor (group):** Complete an application form for each member of the group depending on the type of contract (see above).
Information Folder and Contract	At enrolment, you must provide your client(s) with a copy of the *Information Folder and Contract*, along with the *Amendment to the Information Folder and Contract*.

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