



[www.alphafixe.com](http://www.alphafixe.com)

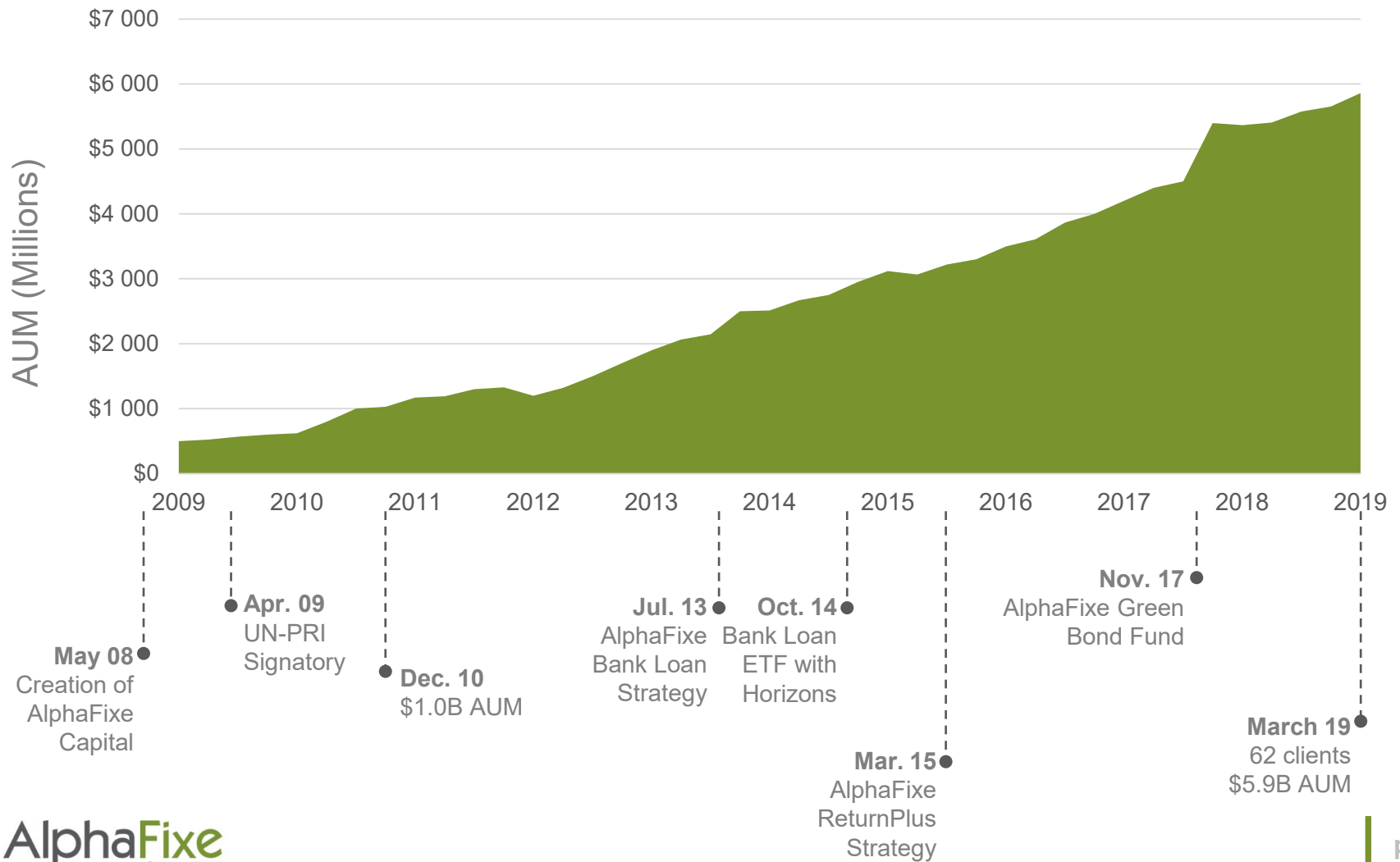
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AlphaFixe's investment strategies are all overseen by a strict **risk budget**

# Firm Milestones



## Firm

# Assets Under Management

**\$5.9B**

## All Strategies

62 Clients

**\$3.1B**

### Active Bond Strategies

35 Clients

**\$1.5B**

### Enhanced Index Bond Strategies

7 Clients

**\$1.5B**

### Bank Loans

40 Clients

**\$150M**

### Green Bond Fund

11 Clients

## Corporate Bonds

**\$1.3B**

## Firm

# Flexibility and Adaptability

300

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### Investment Constraints

All variables can be tracked, from more general criteria to very specific

42

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### Benchmarks

Most AlphaFixe accounts are managed separately to allow for customization of investment mandates

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### System: **Integra**

Integra allows us to onboard and modify highly customized accounts with evolving investment mandates

# Firm Team



**Stéphane Corriveau**  
**ASA**  
President, Managing Director &  
Chief Compliance Officer  
(11 yrs / 28 yrs)



**Sébastien Rhéaume**  
**CPA, CA, CFA**  
CIO, Managing Director  
(11 yrs / 28 yrs)



**Diane Favreau**  
**MBA, M.Sc.**  
Managing Director  
(8 yrs / 31 yrs)



## *Partnership agreement*

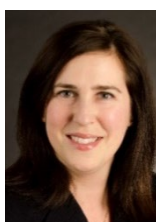
**Mario Lavallée**  
**Ph.D., CFA**  
Director of Research  
(2 yrs / 40 yrs)



**François Galarneau**  
**M.Sc.**  
Sr. Economist, Partner  
(10 yrs / 23 yrs)



**Martin Morand**  
**ASA, CFA**  
Sr. Portfolio Manager, Partner  
(9 yrs / 28 yrs)



**Julie Prémont**  
**MBA, CFA**  
Sr. Portfolio Manager, Partner  
(6 yrs / 21 yrs)



**Michel Bourque**  
**M.Sc., CFA, CPA, CGA**  
Sr. Portfolio Manager, Partner  
(3 yrs / 21 yrs)



**Marc-André Bouchard**  
**CFA**  
Portfolio Manager, Partner  
(6 yrs / 11 yrs)



**Jonathan Lapointe**  
**FSA, FCIA**  
V-P, Business Dev., Partner  
(3 yrs / 13 yrs)



**Sébastien Lavoie**  
**M.Sc.**  
Portfolio Manager  
(4 yrs / 5 yrs)



**Geneviève Dubé**  
**BA, LL.B**  
V-P, Legal Affairs  
(5 yrs / 26 yrs)



**Simon Senécal**  
**M.Sc.**  
Portfolio Manager,  
Resp. Investment  
(2 yrs / 14 yrs)



**Paul-Marie Vigneau**  
**M.Env.**  
Analyst, Resp.  
Investment  
(1 yr / 1 yr)



**Myriam St-Cyr**  
Coordinator,  
Operations  
(6 yrs / 24 yrs)



**Lara Derderyan**  
Coordinator,  
Client Service  
(4 yrs / 14 yrs)



**Mathieu Graveline**  
Operations Manager  
(3 yrs / 10 yrs)



**Alex Alie**  
Analyst  
(2 yrs / 2 yrs)



**David Langlois,**  
**M.Sc.**  
Analyst  
(1 yr / 6 yrs)

## Firm Compliance

- ✓ Investment policy reviewed by 3 or more managers
- ✓ Daily and pre/post-transaction compliance
- ✓ Automatic reporting on daily evolution of risks
- ✓ **Independent compliance advisory committee**
- ✓ Quarterly compliance certificate by Chief Compliance Officer
- ✓ **Annual compliance certificate by an independent audit firm**

## Firm Governance

- ✓ Firm in Compliance with the CFA Institute's Manager Code of Conduct
- ✓ Code of Ethics
- ✓ Trade Allocation Policy
- ✓ Broker Selection Policy
- ✓ Responsible Investment Policy
- ✓ **Personal Trading Policy (audited by KPMG)**
- ✓ **Auditors: KPMG (Firm) – PWC (Pooled Funds)**
- ✓ Custodian of the AlphaFixe Pooled Funds: State Street
- ✓ **Mentoring by Mr. Jean Campeau**

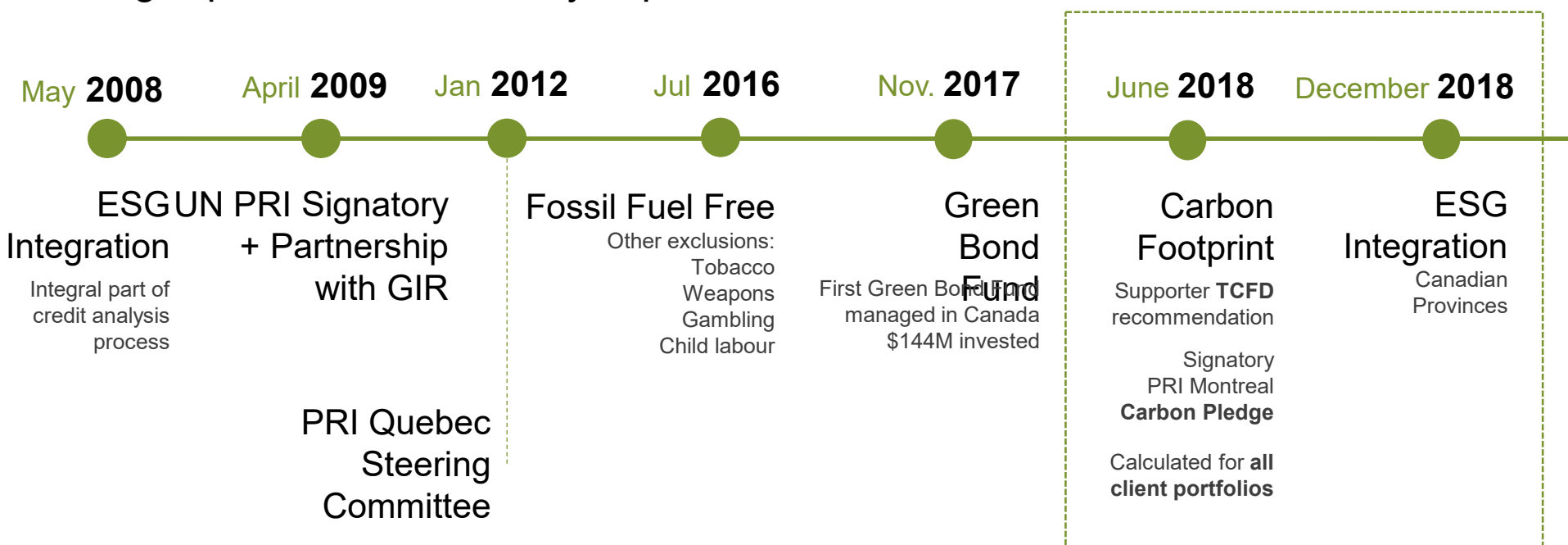
Former Quebec Finance Minister and President of CDPQ



## Firm ESG Integration

AlphaFixe is the first Canadian manager to receive the highest distinction for integrating ESG factors into its processes from a global benefits consulting firm.

Environmental, Social and Governance (ESG) factors have **always** been an integral part of our credit analysis process



# Firm Management System



INTEGRA

Our system has been developed by AlphaFixe allowing for substantial flexibility

## **Daily Portfolio Reconciliation**

Cash, positions and transactions

## **Portfolio Valuation**

Return calculations

Performance attribution

## **Various Management Tools**

## **Pre & Post Transaction Compliance**

## **Reporting**



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